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NOTICE OF ALLOWANCE AND FEE(S) DUE

26646 7590 10/07/2011 KENYON & KENYON LLP ONE BROADWAY NEW YORK, NY 10004 EXAMINER

NGUYEN, TU MINH

ART UNIT PAPER NUMBER

3748

DATE MAILED: 10/07/2011

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/583,054 | 05/09/2007 | Michael Gerlach | 10191/4619 | 8816 |

TITLE OF INVENTION: METHOD FOR OPERATING A METERING VALVE AND DEVICE FOR PERFORMING THE METHOD

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1740 | \$300 | \$0 | \$2040 | 01/09/2012 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

| maintenance fee notificat | ions. | ng the Patent, advance or nerwise in Block 1, by (a ock 1 for any change of address) | Note Fee(| : A certificate of n | nailing can only be used f | or domestic mailings of the for any other accompanying |
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| 26646 KENYON & K ONE BROADW NEW YORK, N | AY | /2011 | have | its own certificate o | of mailing or transmission. ficate of Mailing or Tran | |
| | | | _ | | | (Depositor's name) |
| | | | | | | (Signature) |
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| EXAMI | NER | ART UNIT | CLASS-SUBCLASS | | | |
| NGUYEN, | TU MINH | 3748 | 060-286000 | | | |
| "Fee Address" indi PTO/SB/47; Rev 03-0. Number is required. 3. ASSIGNEE NAME AN PLEASE NOTE: Unlo | ess an assignee is ident in 37 CFR 3.11. Comp | "Indication form ed. Use of a Customer A TO BE PRINTED ON Tified below, no assignee | (1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attor listed, no name will be particularly from the particular of the part | ely, e firm (having as a regent) and the name: neys or agents. If norinted. e) etent. If an assigned assignment. | nember a 2 s of up to o name is 3 e is identified below, the | document has been filed for |
| Please check the appropri | ate assignee category or | categories (will not be pr | rinted on the patent) : \Box | Individual 🖵 Cor | poration or other private g | roup entity 🚨 Government |
| ` | re submitted: o small entity discount p of Copies | permitted) | o. Payment of Fee(s): (Plea A check is enclosed. Payment by credit care The Director is hereby overpayment, to Depos | d. Form PTO-2038 i | s attached. | |
| | SMALL ENTITY statu | is. See 37 CFR 1.27. | •• | | ENTITY status. See 37 (ered attorney or agent; or | CFR 1.27(g)(2). the assignee or other party in |
| interest as snown by the r | ecords of the United Sta | tes Patent and Trademark | . Office. | | | |
| Authorized Signature | | | | Date | | |
| Typed or printed name | | | | | | |
| This collection of informa an application. Confident submitting the completed this form and/or suggestic Box 1450. Alexandria Vi | ation is required by 37 C iality is governed by 35 application form to the ons for reducing this but inginia 22313-1450 DO | FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary round be sent to the | on is required to obtain or re 1.14. This collection is esti- depending upon the indiv- e Chief Information Office | etain a benefit by the mated to take 12 m idual case. Any con r, U.S. Patent and T | e public which is to file (ar inutes to complete, includi inments on the amount of t rademark Office, U.S. Dep SEND TO: Commissioner | nd by the USPTO to process) ing gathering, preparing, and ime you require to complete partment of Commerce, P.O. |

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| ONE BROADWA' NEW YORK, NY | _ | | ART UNIT | PAPER NUMBER |
| | | | 3748 | |

DATE MAILED: 10/07/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 709 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 709 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| | Application No. | Applicant(s) | | |
|---|---|---|--|--|
| | 10/583,054 | GERLACH, MICHAEL | | |
| Notice of Allowability | Examiner | Art Unit | | |
| | TU NGUYEN | 3748 | | |
| The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this a or other appropriate communicati GHTS. This application is subjec | application. If not included on will be mailed in due course. THIS | | |
| 1. A This communication is responsive to an Applicant's RCE file | ed on September 27, 2010. | | | |
| 2. An election was made by the applicant in response to a rest requirement and election have been incorporated into this a | | g the interview on; the restriction | | |
| 3. ☑ The allowed claim(s) is/are <u>12-42</u> . | | | | |
| 4. Acknowledgment is made of a claim for foreign priority under a) All b) Some* c) None of the: Certified copies of the priority documents have Certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Applicant has THREE MONTHS FROM THE "MAILING DATE" | been received. been received in Application No. cuments have been received in th | is national stage application from the | | |
| noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | IENT of this application. | | | |
| A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give | | | | |
| 6. CORRECTED DRAWINGS (as "replacement sheets") must | t be submitted. | | | |
| (a) including changes required by the Notice of Draftspers | • | O-948) attached | | |
| 1) hereto or 2) to Paper No./Mail Date | | | | |
| (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date | s Amendment / Comment or in the | e Office action of | | |
| Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in t | | | | |
| 7. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FO | | | | |
| Attachment(s) 1. ☑ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO/SB/08), | 5. ☐ Notice of Informa 6. ☐ Interview Summa Paper No./Mail □ 7. ☒ Examiner's Amen 8. ☒ Examiner's State | ry (PTO-413), Date | | |
| | | | | |

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EXAMINER'S AMENDMENT

1. An Applicant's Request for Continued Examination (RCE) filed on September 27, 2010 has been entered. Per instruction from the RCE, an enclosed Applicant's Amendment has been entered. Claims 14, 15, and 27 have been amended; and claim 42 has been added. Overall, claims 12-42 are pending in this application.

Allowable Subject Matter

2. The following is an examiner's statement of reasons for allowance:

Re claim 14, as indicated on page 9 of the Amendment, applicant has persuasively argued that Aora et al. fail to disclose or teach a step of "setting the metering valve to an open position for providing a predefined flow rate".

.Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Prior Art

3. The IDS (PTO-1449) filed on September 15, 2010 has been considered. An initialized copy is attached hereto.

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4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure and consists of two patents: Hofmann et al. (U.S. Patent 6,442,932) and Hou et al.

(U.S. Patent 7,337,607) further disclose a state of the art.

Communication

5. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Examiner Tu Nguyen whose telephone number is (571) 272-

4862.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Mr. Thomas E. Denion, can be reached on (571) 272-4859. The fax phone number

for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Tu M. Nguyen/

TMN Tu M. Nguyen

September 30, 2011 Primary Examiner

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